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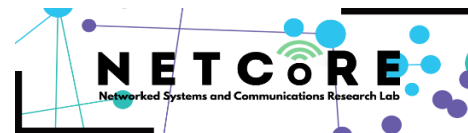
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IEEE ComSoc Distinguished Lecturer

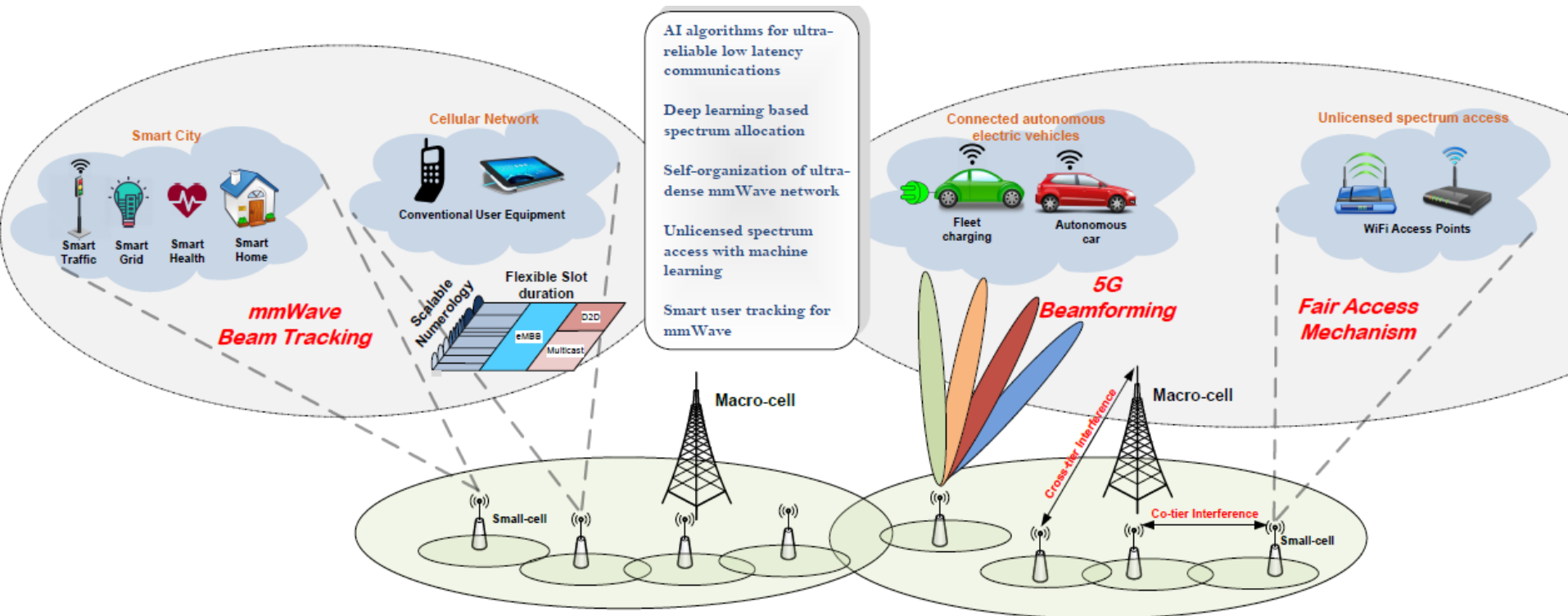
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Deep and Reinforcement Learning in 5G and 6G Networks



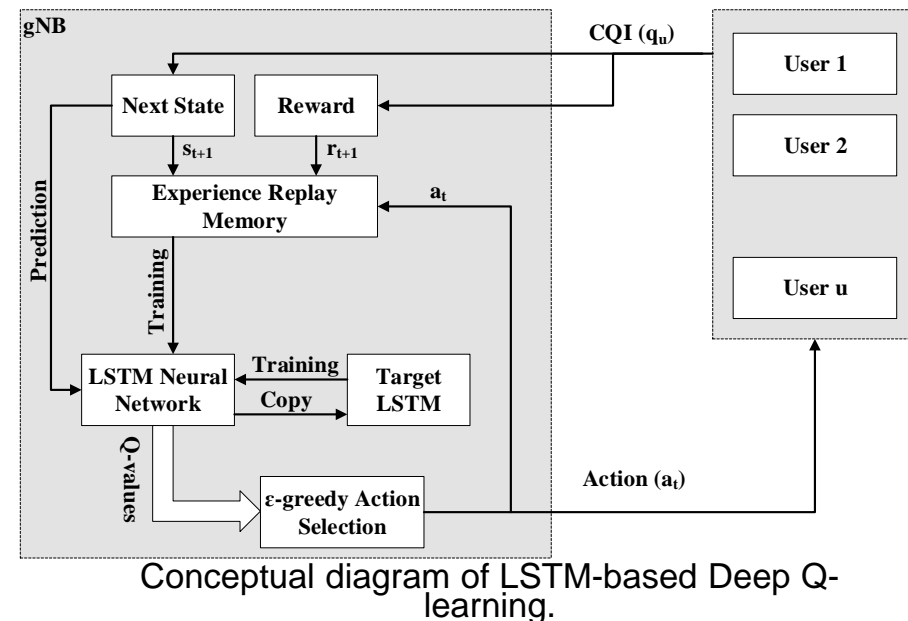
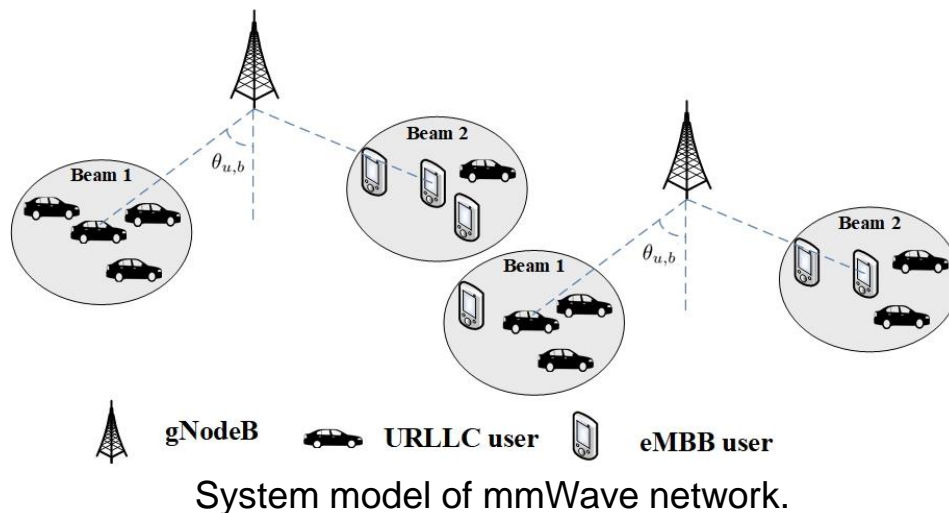
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AI-enabled 5G and 6G



Radio Resource and Beam Management in 5G mmWave

- With mobility, cluster patterns vary
 - Beam management and radio resource allocation becomes more challenging
- Clustering with DBSCAN
- Deep Reinforcement Learning



M. Elsayed and M. Erol-Kantarci, "Radio Resource and Beam Management in 5GmmWave Using Clustering and Deep Reinforcement Learning", IEEE Globecom, Dec. 2020.

Deep Q-learning with DBSCAN (DQLD)

- Online clustering using DBSCAN:
 - Clusters users that are close to each other and can be covered by a single beam.
 - DBSCAN helps to find the least number of beams.
- Resource block allocation:
 - LSTM-based deep Q-learning is used to perform RB allocation within each beam.

Latency and Sum Rate

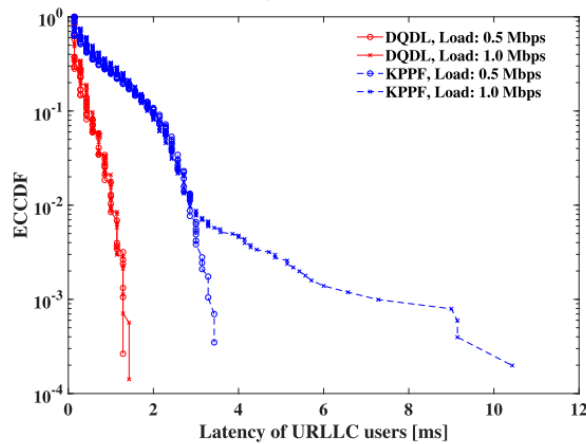


Fig. 3: Latency of URLLC users versus total URLLC offered load ([0.5, 1] Mbps).

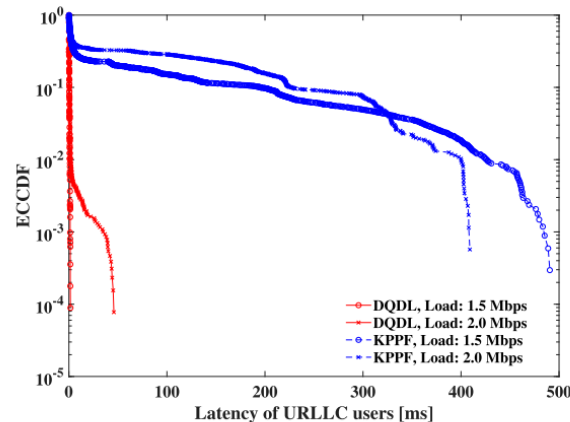


Fig. 4: Latency of URLLC users versus total URLLC offered load ([1.5, 2] Mbps).

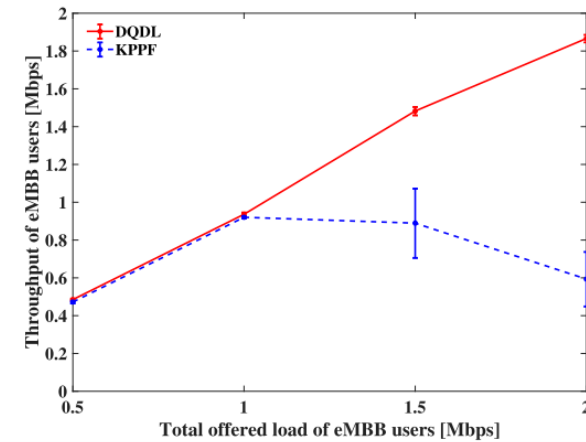


Fig. 7: Sum rate of eMBB users versus total eMBB offered load.

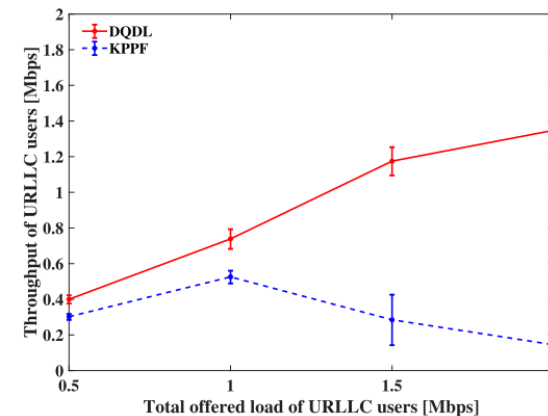
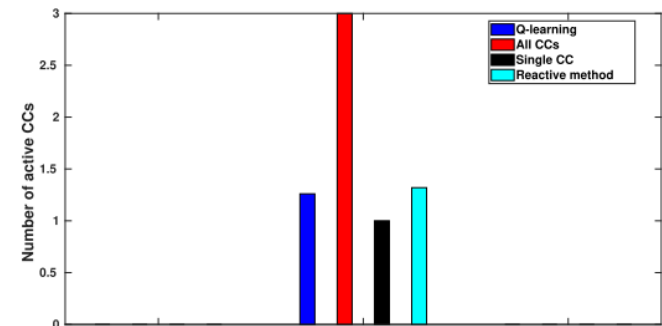
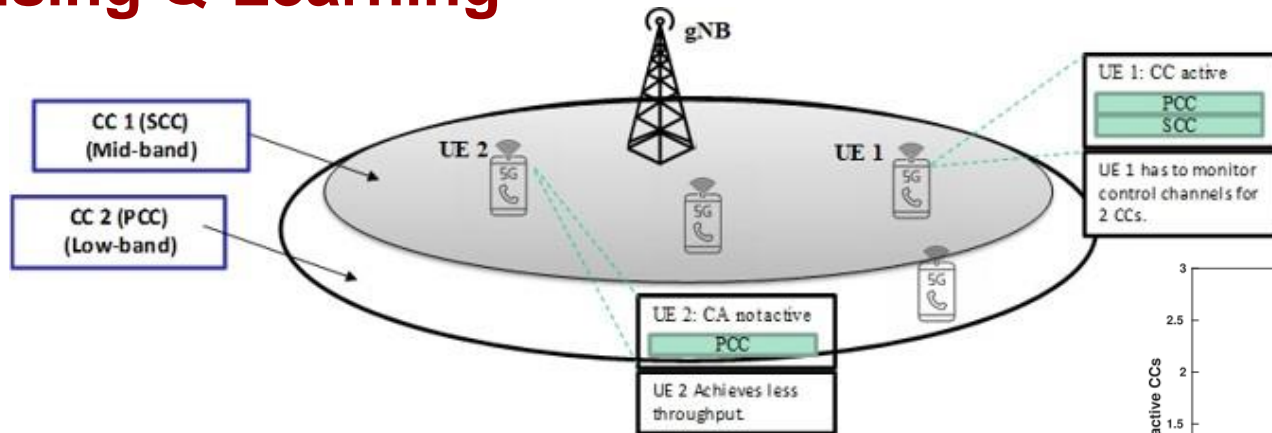


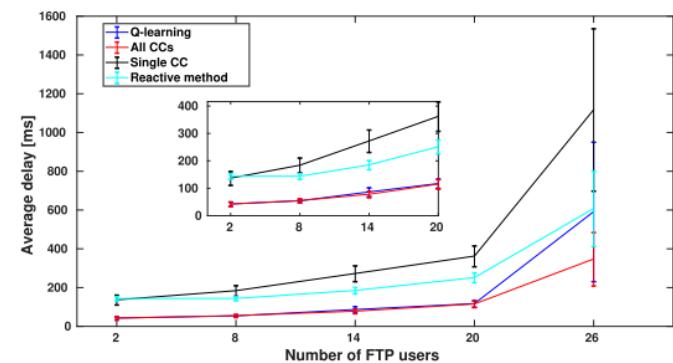
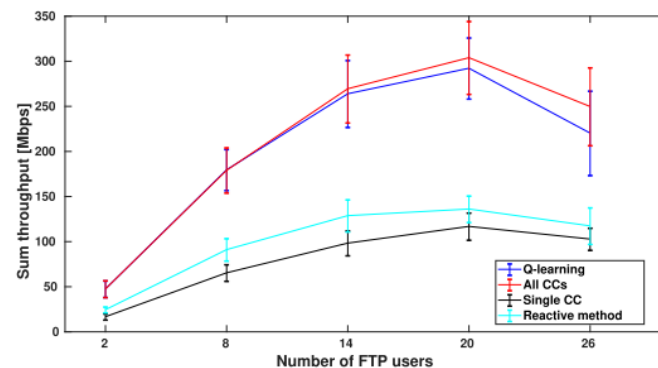
Fig. 6: Sum rate of URLLC users versus total URLLC offered load.

Proposed algorithm is compared to a baseline that uses K-means for clustering and priority-based proportional fairness for RA. Simulation results show that the proposed algorithm outperforms the baseline in terms of latency, reliability and rate.

Carrier Aggregation with UE Energy-Efficiency using Q-Learning



✓ Comparable throughput and latency with less energy and control overhead

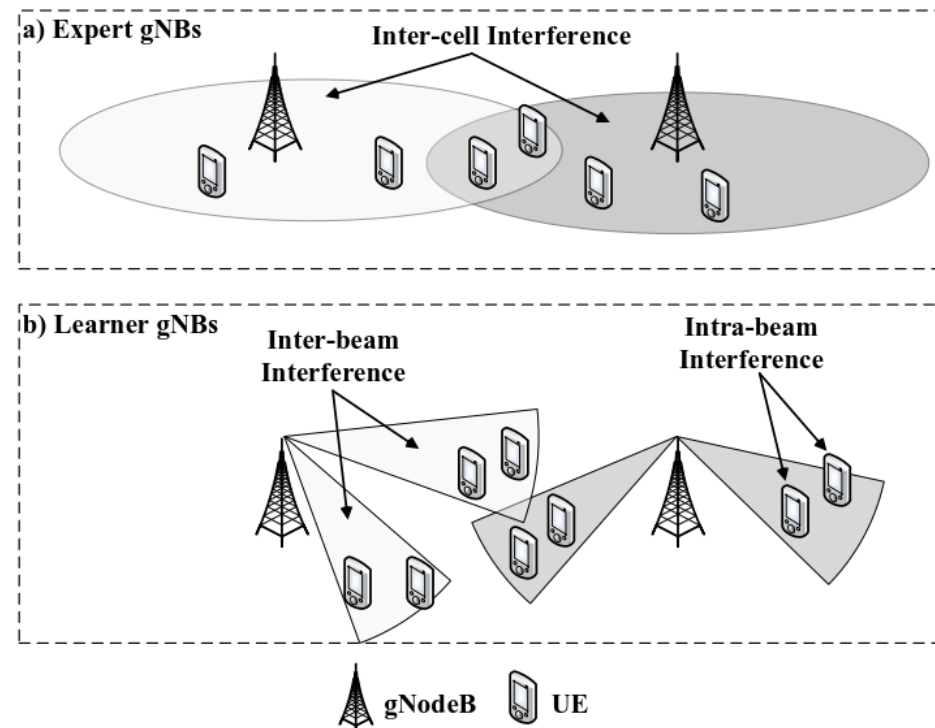


M. Elsayed, R. Joda, H. Abou-zeid, R. Atawia, A. Bin Sediq, G. Boudreau, M. Erol-Kantarci, "Reinforcement Learning Based Energy-Efficient Component Carrier Activation-Deactivation in 5G," IEEE Globecom, 2021.

Transfer Reinforcement Learning

- Expert gNBs
 - User-cell association solely
 - Q-learning

- Learner gNBs
 - Joint user-cell association and selection of number of beams
 - Transfer Q-learning



M. Elsayed, M. Erol-Kantarci, H. Yanikomeroglu, "Transfer Reinforcement Learning for 5G-NR mm-Wave Networks," IEEE Transactions on Wireless Communications, vol. 20, no. 5, May 2021.

Convergence of TL

Convergence of expert gNB

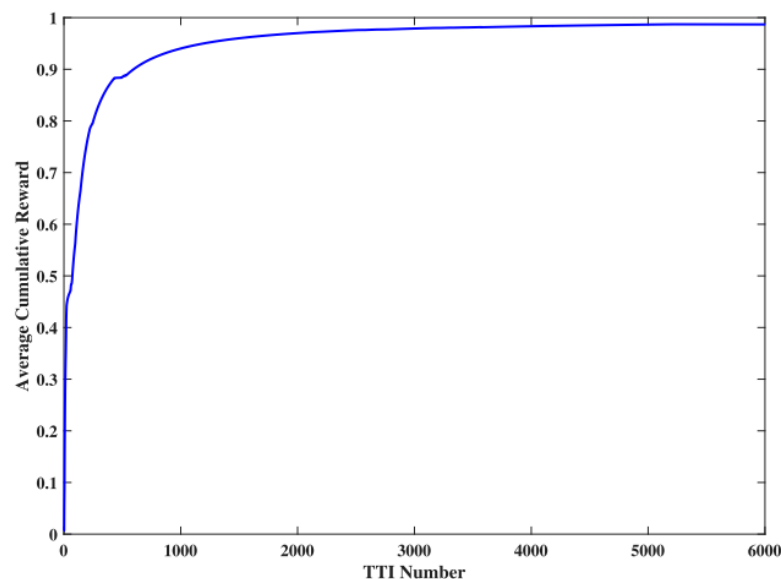


Fig. 4. Convergence of expert gNBs represented by the average cumulative reward.

Convergence of Learner gNB

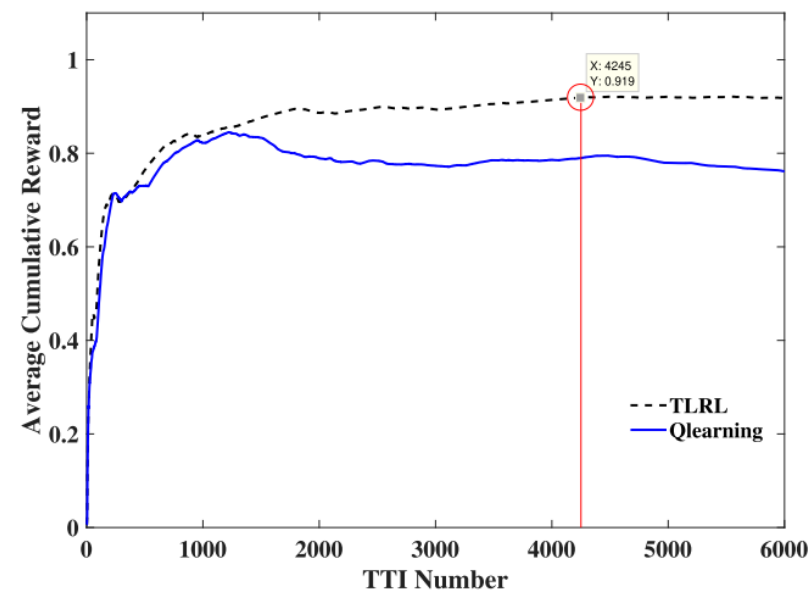
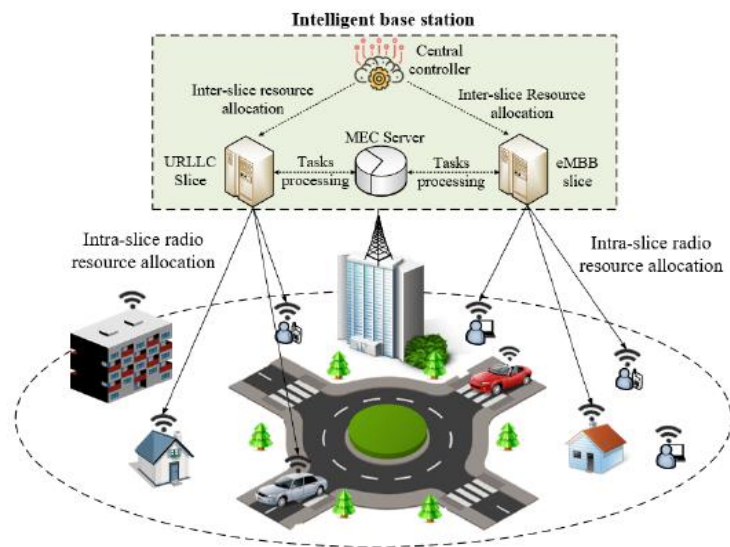


Fig. 5. Convergence of learner gNBs represented by the average cumulative reward. Total offered load is 1.3 Mbps.

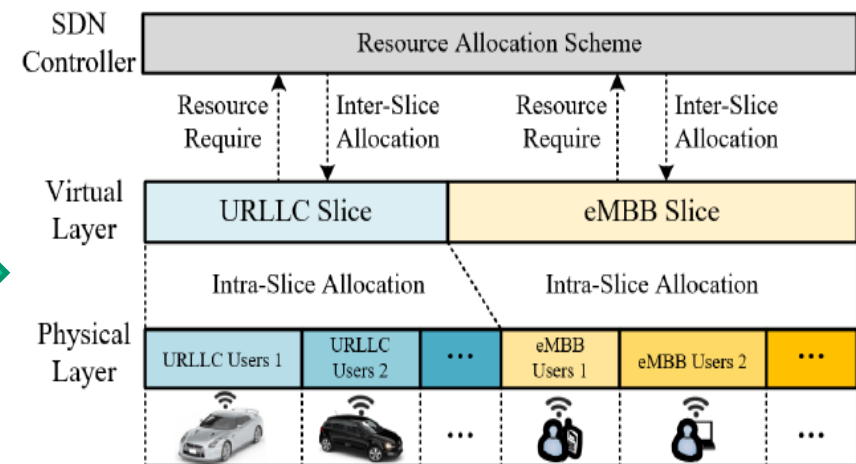
Knowledge Transfer based Resource Allocation for RAN Slicing

- We apply a two-step resource allocation scheme: inter-slice phase and intra-slice phase.
- Resources: PRB and computational resources



Proposed system architecture

**Resource
allocation
scheme**



Resource allocation scheme

H. Zhou, and M. Erol-Kantarci, Knowledge Transfer based Radio and Computation Resource Allocation for 5G RAN Slicing in Proceedings of 2022 IEEE conference on CCNC , pp.1-6, Jan. 2022.

Knowledge Transfer based Resource Allocation for RAN Slicing

- eMBB and URLLC slices. The eMBB slice intends to maximize the throughput, while the URLLC slice requires a lower latency.
- In knowledge transfer reinforcement learning, there are two phases: knowledge transfer phase and learning phase.

$$\max \quad w^{embb} b_j^{embb, avg} + w^{urllc} (d_j^{tar} - d_j^{urllc, avg})$$

$$\text{s.t.} \quad b_j^{embb, avg} = \frac{\sum_{u \in \mathcal{M}_j^{embb}} b_{j,u}^{embb}}{|\mathcal{M}_j^{embb}|}$$

$$b_j^{urllc, avg} = \frac{\sum_{v \in \mathcal{M}_j^{urllc}} d_{j,v}^{urllc}}{|\mathcal{M}_j^{urllc}|}$$

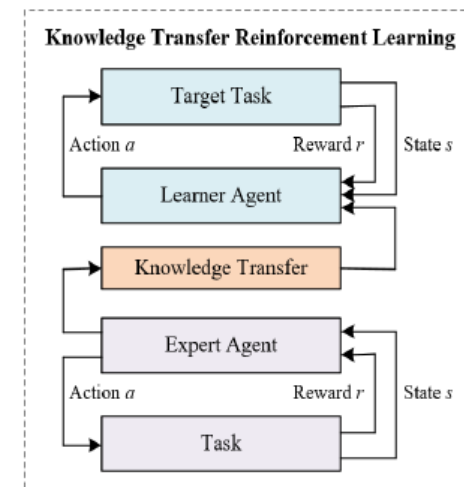
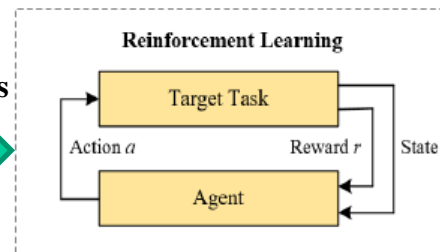
(1) (2) (3) (4) (6)

$$\sum_{u \in \mathcal{M}_j^{embb}} x_{j,u,r'} + \sum_{v \in \mathcal{M}_j^{urllc}} x_{j,v,r'} = 1$$

$$\sum_{r' \in \mathcal{N}_{j'}} \left(\sum_{u \in \mathcal{M}_j^{embb}} x_{j,u,r'} + \sum_{v \in \mathcal{M}_j^{urllc}} x_{j,v,r'} \right) \leq |\mathcal{N}_j|$$

$$C_j^{embb} + C_j^{urllc} \leq C_j$$

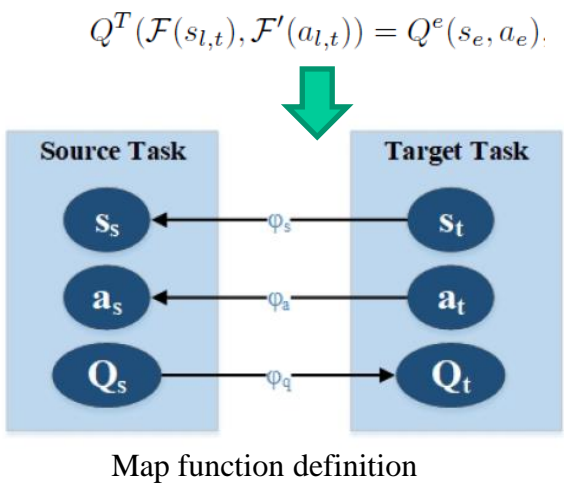
Transfer
reinforcement
learning solutions



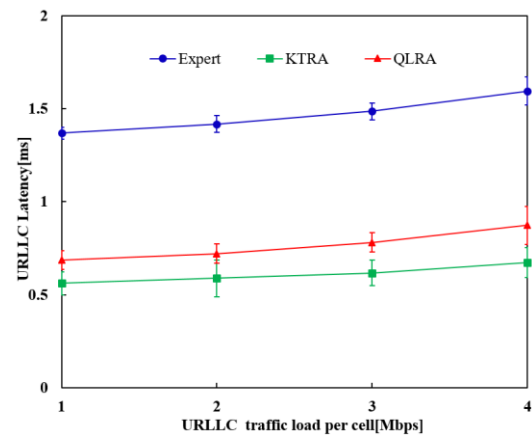
Knowledge transfer reinforcement learning

Knowledge Transfer based Resource Allocation for RAN Slicing

- We use the prior knowledge of experts to improve the exploration efficiency: finding a specific Q-value of expert agent to represent the potential reward of learner actions.

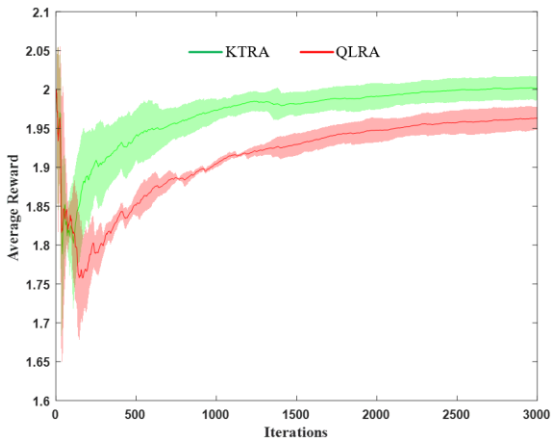


Faster convergence
and better network
metrics

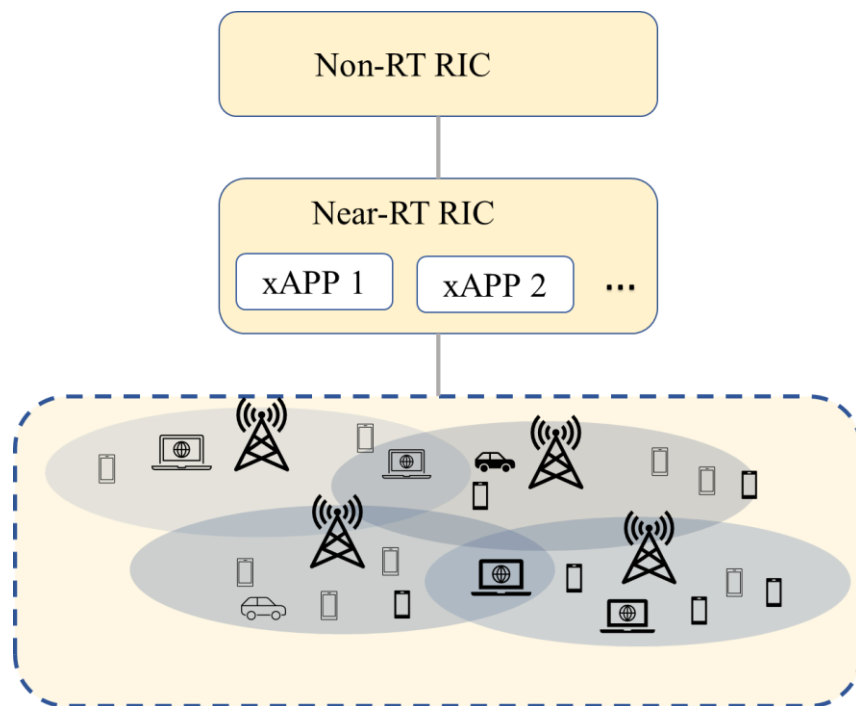


Average URLLC latency [ms] under various URLLC traffic.

Convergence performance of KTRA (knowledge transfer) and QLRA (Q-learning).



Team Learning in DRL-based xApps in O-RAN

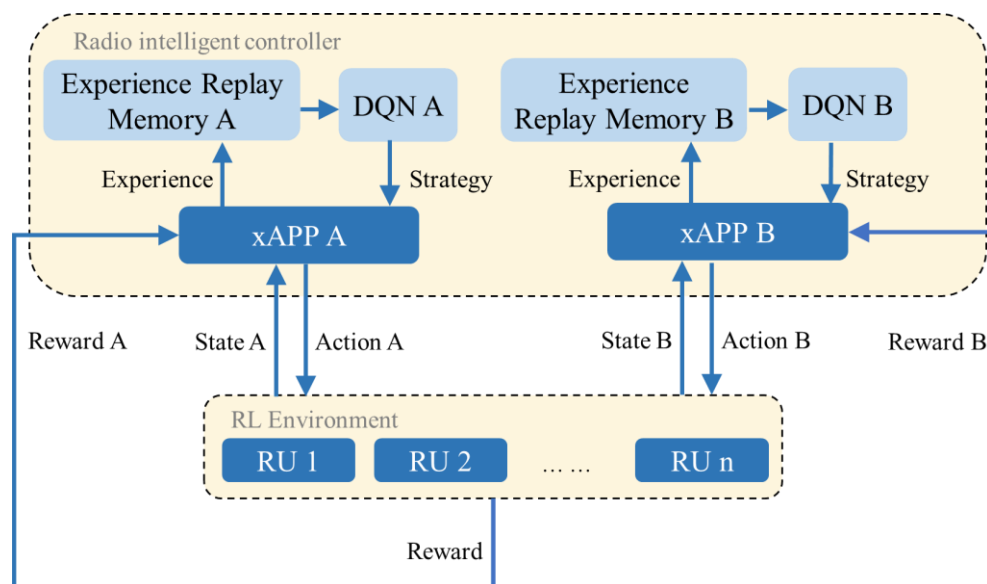


- Multiple network functions in the Near-RT RIC deployed by different vendors
- There can be conflicts between xAPPs
- How to address these conflicts?
- Team learning: A team of agents are in the same environment and share part of the observational information.
- Learn and choose actions in a distributed manner and cooperate for the same team goal.
- Team goal: maximize the system throughput.

H. Zhang, H. Zhou, M. Erol-Kantarci, "Team Learning-based Resource Allocation for Open Radio Access Networks (O-RAN), in Proc. of IEEE ICC, May 2022.

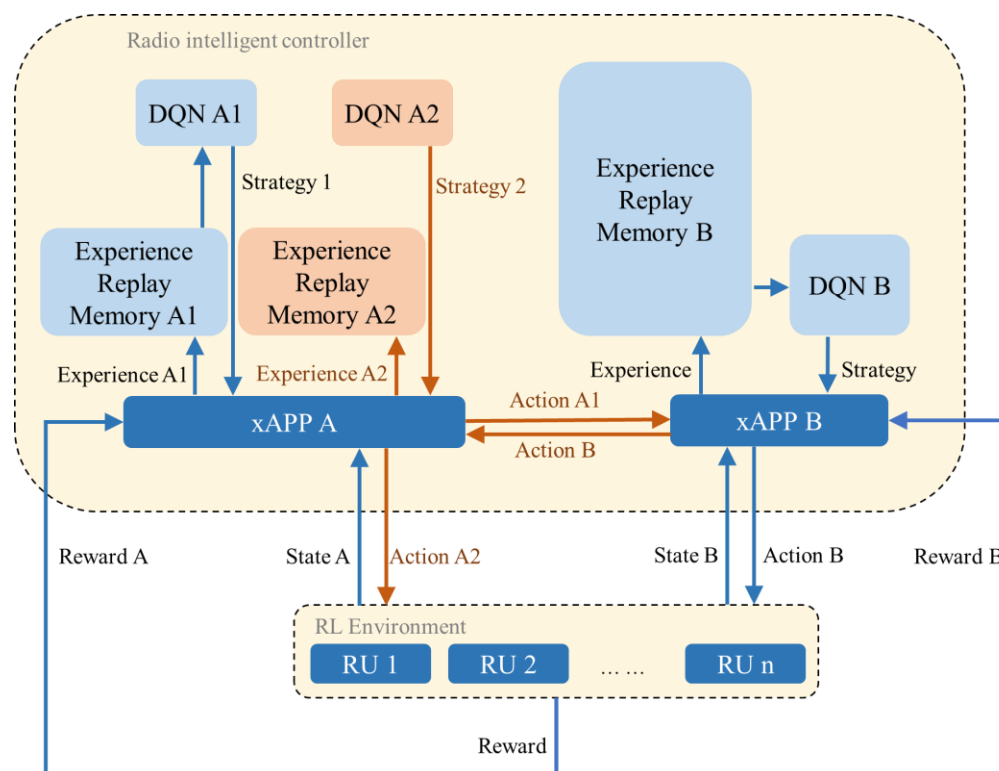
DRL-based xAPP Interactions without Team Learning

- Potential problem: when an xAPP acts, it does not take into account the actions of other xAPPs.
 - The selected action may be the optimal choice in its own view, but the performance of other xAPPs may be affected.

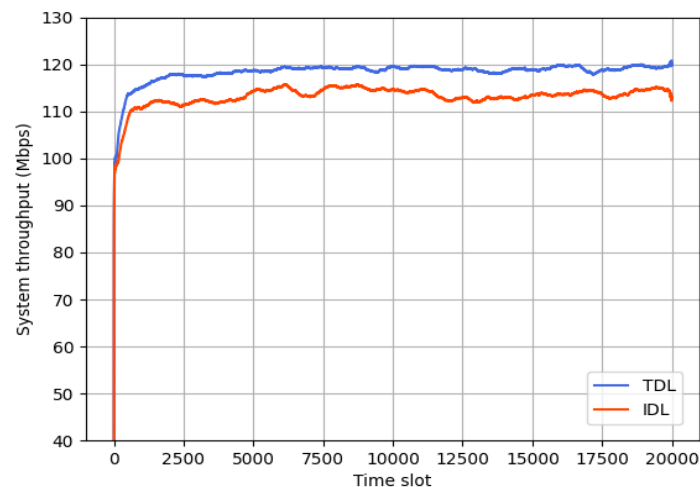


DRL-based xAPP Interactions with Team Learning

- xAPPs will include the actions of other xAPPs in its own state

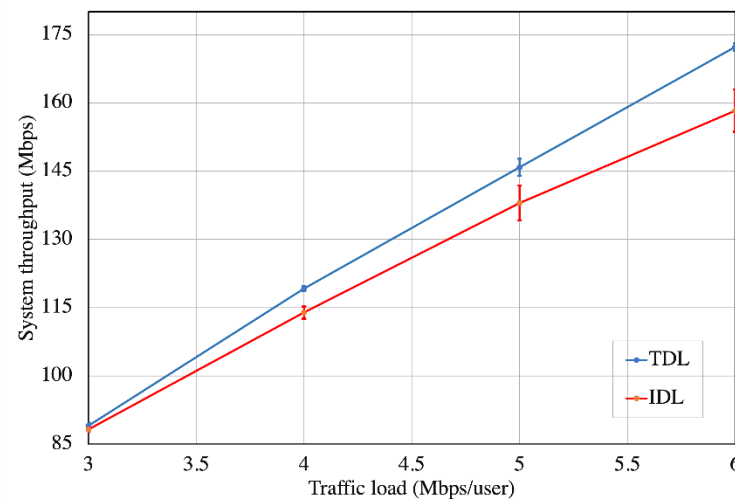


Performance Evaluation



TDL: team deep Q-learning

IDL: independent deep Q-learning



Varying traffic load, $V=20\text{m/s}$

8.8% higher throughput when traffic load = 6 Mbps

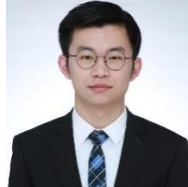
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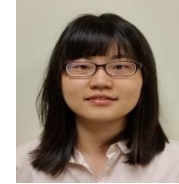
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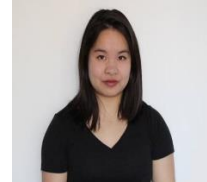
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Thank you!

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